

Applicant or Patentee: Toshikazu Kikuchi, et al. Attorney's B-4066
 Serial or Patent No.: _____ Docket No. 618461-6
 Filed or Issued: _____
 For: INSERTION SYSTEM FOR INTRAOCULAR LENS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
 STATUS (37 CFR 1.9 (f) and 1.27 (c)) — SMALL BUSINESS CONCERN

I hereby declare that I am

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN CANON STAAR CO., INC.
 ADDRESS OF CONCERN 13-29, Konan 2-chome, Minato-ku, Tokyo, Japan

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled _____ by inventor(s)
INSERTION SYSTEM FOR INTRAOCULAR LENS described in

☒ the specification filed herewith

☐ application serial no. _____, filed _____

☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
 ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
 ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Norio Kuroda
 TITLE OF PERSON OTHER President
 ADDRESS OF PERSON SIGNING 13-29, Konan 2-chome, Minato-ku, Tokyo, Japan

SIGNATURE Norio Kuroda DATE Dec. 7, 2000

03745463 420000

Declaration and Power of Attorney For Patent Application

特許出願宣言書

Japanese Language Declaration

私は、下欄に氏名を記載した発明者として、以下のとおり宣言する：

私の住所、郵便の宛先および国籍は、下欄に氏名に続いて記載したとおりであり、

名称の発明に関し、請求の範囲に記載した特許を求める主題の本来の、最初にして唯一の発明者である（一人の氏名のみが下欄に記載されている場合）か、もしくは本来の、最初にして共同の発明者である（複数の氏名が下欄に記載されている場合）と信じ、

その明細書を
(該当する方に印を付す)

☐ ここに添付する。

☐ _____ 日に出願番号

第 _____ 号として提出し、

_____ 日に補正した。

(該当する場合)

私は、前記のとおり補正した請求の範囲を含む前記明細書の内容を検討し、理解したことを陳述する。

私は、連邦規則法典第37部第1章第56条 項に従い、本願の審査に所要の情報を開示すべき義務を有することを認める。

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

INSERTION SYSTEM FOR

INTRAOCULAR LENS

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as

Application Serial No. _____

and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56 .

Japanese Language Declaration

私は、合衆国法典第35部第119条にもとづく下記の外国特許出願または発明者証出願の外国優先権利益を主張し、さらに優先権の主張に係わる基礎出願の出願日前の出願日を有する外国特許出願または発明者証出願を以下に明記する：

Prior foreign applications
先の外国出願

H11-284393	JAPAN	October 5, 1999
(Number) (番号)	(Country) (国名)	(Day/Month/Year Filed) (出願の年月日)
_____	_____	_____
(Number) (番号)	(Country) (国名)	(Day/Month/Year Filed) (出願の年月日)
_____	_____	_____
(Number) (番号)	(Country) (国名)	(Day/Month/Year Filed) (出願の年月日)
_____	_____	_____

Priority claimed
優先権の主張

<input type="checkbox"/> Yes あり	<input checked="" type="checkbox"/> No なし
<input type="checkbox"/> Yes あり	<input type="checkbox"/> No なし
<input type="checkbox"/> Yes あり	<input type="checkbox"/> No なし

私は、合衆国法典第35部第120条にもとづく下記の合衆国特許出願の利益を主張し、本願の請求の範囲各項に記載の主題が合衆国法典第35部第112条第1項に規定の様態で先の合衆国出願に開示されていない限度において、先の出願の出願日と本願の国内出願日またはPCT国際出願日の間に公表された連邦規則法典第37部第1章第56条第1項に記載の所要の情報を開示すべき義務を有することを認める：

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)
(出願番号)

(Filing Date)
(出願日)

(現況)
(特許済み、係属中、放棄済み)

(Status)
(patented, pending, abandoned)

(Application Serial No.)
(出願番号)

(Filing Date)
(出願日)

(現況)
(特許済み、係属中、放棄済み)

(Status)
(patented, pending, abandoned)

私は、ここに自己の知識にもとづいて行った陳述がすべて真実であり、自己の有する情報および信ずるところに従って行った陳述が真実であると信じ、さらに故意に虚偽の陳述等を行った場合、合衆国法典第18部第1001条により、罰金もしくは禁錮に処せられるか、またはこれらの刑が併科され、またかかる故意による虚偽の陳述が本願ないし本願に対して付与される特許の有効性を損うことがあることを認識して、以上の陳述を行ったことを宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration

委任状：私は、下記発明者として、以下の代理人をここに選任し、本願の手続を遂行すること並びにこれに関する一切の行為を特許商標庁に対して行うことを委任する。
(代理人氏名および登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Richard P. Berg, Reg. No. 28,145
Don B. Finkelstein, Reg. No. 20,068
Colin P. Abrahams, Reg. No. 32,393
Mavis S. Gallenson, Reg. No. 32,464
Kam C. Louie, Reg. No. 33,008
Don A. Hollingsworth, Reg. No. 25,631
Ira M. Siegel, Reg. No. 28,907

書類の送付先：

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Richard P. Berg
(213) 934-2300

唯一のまたは第一の発明者の氏名	Full name of sole or first inventor Toshikazu Kikuchi	
同発明者の署名	日付	Inventor's signature <i>Toshikazu Kikuchi</i> Date Dec. 7, 2000.
住所	Residence 2-16-13, Nanyodai Hachioji-shi, Tokyo, Japan	
国籍	Citizenship JAPAN	
郵便の宛先	Post Office Address SAME AS ABOVE	
第2の共同発明者の氏名 (該当する場合)	Full name of second joint inventor, if any Toshiyuki Nakajima	
同第2発明者の署名	日付	Second inventor's signature <i>Toshiyuki Nakajima</i> Date Dec. 7, 2000.
住所	Residence 64-2, Kuriyama Matsudo-shi, Chiba-ken, Japan	
国籍	Citizenship JAPAN	
郵便の宛先	Post Office Address SAME AS ABOVE	

(第6またはそれ以降の共同発明者に対しても同様な情報および署名を提供すること。)

(Supply similar information and signature for third and subsequent joint inventors.)

Japanese Language Declaration

委任状：私は、下記発明者として、以下の代理人をここに選任し、本願の手続を遂行すること並びにこれに関する一切の行為を特許商標庁に対して行うことを委任する。
(代理人氏名および登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Richard P. Berg, Reg. No. 28,145
Don B. Finkelstein, Reg. No. 20,068
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第一のまたは第一の発明者の氏名	Full name of third joint inventor, if any	
同発明者の署名	日付	Inventor's signature Date
住所		Kenichi Kobayashi Dec. 7, 2000
国籍		Residence - 29-13, Minami-Mizumoto Katsushika-ku, Tokyo, Japan
郵便の宛先		Citizenship JAPAN
		Post Office Address SAME AS ABOVE
第二の共同発明者の氏名 (該当する場合)	Full name of fourth joint inventor, if any	
同第二発明者の署名	日付	Second inventor's signature Date
住所		Residence
国籍		Citizenship
郵便の宛先		Post Office Address

(第六またはそれ以降の共同発明者に対しても同様な情報および署名を提供すること。)

(Supply similar information and signature for third and subsequent joint inventors.)

[illegible][illegible]

Richard P. Berg
Principal
Reg. No. 28,145